



04-05-06

3621

Attorney Docket No. NYMX0002  
Client/Matter No. 99786.0007  
Express Mail No. EV544474649US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Kai Neumann, et al.  
Serial No. 10/700,406  
Filed: November 4, 2003  
For: DISTRIBUTED TRADING BUS  
ARCHITECTURE

Examiner: [Not Yet Assigned]  
Art Unit: 3621  
Confirmation No. 5734  
Customer No.: **25235**

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references for the Examiner's consideration, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

Pursuant to 37 C.F.R. § 1.97(c), it is hereby certified that each item in this Information Disclosure Statement was cited in a communication from a foreign patent office (copy enclosed), PCT/IB04/52236, mailed March 23, 2006, not more than three months prior to the filing of the statement (37 C.F.R. Section 1.97(e)). No petition fee is believed required, however, any fees associated with this communication may be made to Deposit Account No. 50-1123.

Respectfully submitted,

Date: 4/04/06

  
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PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)  Sheet <u>1</u> of <u>1</u>	ATTY. DOCKET NO. NYMX0002 Client/Matter No. 99786.0007	
	APPLICATION NO. 10/700,406	
	FIRST NAMED INVENTOR Kai Neumann, et al.	
	FILING DATE November 4, 2003	ART UNIT 3621

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,980,826	12/25/1990	Wagner	
		US-6,405,180	06/11/2002	Tifors et al.	
		US-6,421,653	07/16/2002	May	
		US-6,618,707	09/09/2003	Gary	
		US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc ctry code – No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION
						YES <input type="checkbox"/> NO <input type="checkbox"/>

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published

EXAMINER SIGNATURE

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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CERTIFICATE OF MAILING BY EXPRESS MAIL

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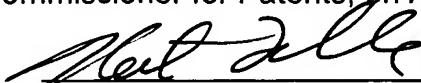
Sir:

The undersigned hereby certifies that the enclosed

1. Information Disclosure Statement,
2. Form PTO/SB/08A, with copy of PCT search report,
3. Certificate of Mailing, and
4. Return Receipt Postcard,

and this Certificate of Mailing by Express Mail relating to the above application, were deposited as "Express Mail", Mailing Label No. EV544474649US with the United States Postal Service, addressed to Commissioner for Patents, on April 4, 2006.

Date: 4/04/06

  
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Date: 4/04/06

  
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